

EMAC Zone of Department of Commerce has organized a guest lecture for

Business Economics Paper V on 'Capital Market'



**21st Oct , 2022
11:00 AM Onwards**

**Guest speaker
Mr. Deepak Jethwa (ACA)**

Venue : Webinar (Google Meet)



Google Meet Link:
<https://meet.google.com/ots-wxgy-ghz>



Thanks & Regards,
Dr. Pratima Singh
Principal

Ms. Sharlet Bhaskar
Coordinator,
Department of Commerce

CAPITAL MARKET WEBINAR

21st Oct, 2022



Policy Measures Introduced by SEBI

#	Policy Measures Introduced by SEBI	Purpose
1	Prohibition of Fraudulent and Unfair Trade Practices	Imposed prohibition against market manipulation and unfair practices relating to securities.
2	Penal Margins	Imposition of penal margin on net undelivered portion at the end of the trading day.
3	Entry Norms	Tightening the entry norms for companies accessing capital market.
4	Prudential Norms for Custodian of Securities and Depositories	Provide for registration of custodian and specify capital and other requirements for grant of certificate.
5	Screen-based Trading	Expand their online screen based trading terminals to locations outside the SEBI offices.
6	Investor Protection	SEBI issues show cause notices to defaulting entities and initiate court proceedings to obtain appropriate relief in the interest of investors.
7	Prevention of Insider Trading	For ensuring greater market transparency, negotiated and cross deals.
8	Stricter Norms for Share Transfer	Tightened the norms for transfer of shares among group companies and related companies.
9	Steps to Improve Corporate Governance	Sufficient disclosures are made mandatory for companies at the stage of IPO.
10	Comprehensive Risk Management and Improvement in Disclosure	Risk management system was made more comprehensive with trading margins subject to margins based on trading volumes.
11	Ban on "Badla"	Several derivative products on equities were introduced to provide intraday hedging and risk management. Consequently, there is ban on "Badla".
12	Guidelines for Anti Money Laundering Measures	Any and all of its representatives should to discourage and identify any money laundering or terrorist financing activities.

Deepak is presenting